

Checklist for Cancellation of Authorised Person application

Sr. No.	Documents	Points to be noted while filing the application
1	Application form for Cancellation of Authorised Person	-Should be on letter head of Trading Member -Should be signed by Director/Authorised Signatory of the Trading Member
2	Board Resolution/Letter of Authority in case of Corporate, Partnership Firm or LLP	-Should be on letter head of the Authorised Person -To be signed by any one Director in case of Board Resolution, to be signed by all Partners/Director in case of Letter of Authority -Board Resolution/Letter of Authority should contain approval of the Partnership Firm/LLP/Corporate Authorised Person for cancellation of its appointment
3	Copy of request received from Authorised Person for Cancellation	-Should be on letter head of Authorised Person -Should be signed by Proprietor/Partner/Director/Authorised Signatory of the Authorised Person - Submit the acknowledgment copy of notice issued to Authorised Person in case the Authorised Person is not co-operating for the cancellation of appointment

Instructions:

- This application can be submitted by the trading member only in case the member desires to cancel the authorised person appointment after the Exchange has granted approval to the authorised person appointment application
- In case the Authorised Person wishes to change its affiliation from one member to another member, the existing member has to submit an application for cancellation of appointment of Authorised Person and the new affiliating member has to submit an application for appointment of the Authorised Person

Application for Cancellation of Appointment of Authorised Person(s)
(On the letter head of the member)

Date

To
Regulatory Department
NSE IFSC Limited,
Unit No.1201, Brigade International Financial Centre,
12th floor, Block-14, Road 1C, Zone -1,
GIFT SEZ, Gandhinagar, Gujarat - 382355

Dear Sir/Madam,

I/We hereby request the Exchange to cancel the appointment of following Authorised person(s) with the Exchange.

Details are as under:

Sr. No.	Name of the Authorised Person	Date of Appointment	Authorised Person Registration Number	Reason for cancellation

We hereby confirm that:

1. The above mentioned authorised person has been disabled from trading.
2. The agreement(s) between the _____ (*name of trading member*) and the above mentioned Authorised Person(s) has/have been terminated.
3. No complaint/ arbitration/disciplinary proceeding/investigation/inquiry is pending against us in respect of clients dealing through above Authorised Person(s). There is no financial dispute of any matter between us and above Authorised Person(s).
4. Copy of request(s) received from the Authorised Person(s) listed above is/are enclosed.

Place: _____

***Name & Signature**

*Signed by a Director/Authorised Signatory of trading member.